



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

**Complete If Known**

Application Number	10/765,380
Filing Date	January 26, 2004
First Named Inventor	PERKINS, RODNEY A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	017534-000740US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
②	1	3,322,126		05-30-1967	Rüsch et al.	
	2	3,498,286		03-03-1970	Polanyi et al.	
	3	3,669,098		06-13-1972	Takahashi	
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Examiner Signature

*Patricia McPherson*

Date Considered


5/30/05

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete If Known</b>		
			Application Number	10/765,380	
			Filing Date	January 26, 2004	
			First Named Inventor	PERKINS, RODNEY A.	
			Art Unit	Unassigned	
Examiner Name	Unassigned				
Attorney Docket Number	017534-000740US				
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U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
R	42	5,653,231	08-05-1997	Bell	
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	53	6,287,290	09-11-2001	Perkins et al.	
	54	6,493,589	12-10-2002	Medhkour et al.	

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	55	WO	92/10971		09-07-1992			<input type="checkbox"/>
	56	WO	95/33506		12-14-1995			<input type="checkbox"/>
	57	WO	98/48706		05-11-1998			<input type="checkbox"/>
	58	WO	98/49191		05-11-1998			<input type="checkbox"/>
	59	WO	99/01076		01-14-1999			<input type="checkbox"/>
	60	WO	99/17827		04-15-1999			<input type="checkbox"/>

Examiner Signature	<i>Patricia Carter</i>	Date Considered	5/30/05
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>	
			Application Number	10/765,380
			Filing Date	January 26, 2004
			First Named Inventor	PERKINS, RODNEY A.
			Art Unit	Unassigned
Examiner Name	Unassigned			
Attorney Docket Number	017534-000740US			
Sheet	3	of	3	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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	62	Bollinger et al., abstract, "Evaluation of high-risk lung resection candidates: pulmonary Haemodynamics versus exercise testing. A testing of five patients," Respiration, (1994) 61(4), pp. 181-186.	
	63	Clark Ojo et al., "Lung volume reduction surgery alters management of pulmonary nodules in patients with severe COPD," Chest, (1997) 112(6):1494-1500.	
	64	Coryllos and Birnbaum, "Studies in pulmonary gas absorption in bronchial obstruction. I. Two new methods for direct and indirect observation," Amer. J. Med. Sci., (1932) 183:317-326.	
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	66	Coryllos and Birnbaum, "Studies in pulmonary gas absorption in bronchial obstruction. III. A theory of air absorption in atelectasis," Amer. J. Med. Sci., (1932) 183:347-359.	
	67	Criner et al., "Effect of lung volume reduction surgery on diaphragm strength," Am. J. Res. Crit. Care Med., (1998) 157:1578-1585.	
	68	Harada et al., "Re-expansion of refractory atelectasis using a bronchofiberscope with a balloon cuff," Chest, (1983) 84(6):725-728.	
	69	Kotloff et al., "Comparison of short-term functional outcomes following unilateral and bilateral lung volume reduction surgery," Chest, (1998) 113(4):890-895.	
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	71	Melendez et al., Abstract, "Predictive respiratory complication quotient predicts pulmonary complication in thoracic surgical patients," (1998) 66(6), pp. 2164.	
B	72	Scalfani, "Clearing the airways" AARC Times (1999) pp. 69-71, 97.	

Examiner Signature	<i>Devin C. Allen</i>	Date Considered	5/30/05
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